

ETHICS COMMITTEE CERTIFICATE OF APPROVAL

This is to certify that

Project No: 415/23 (Central ID Number: 100127)

Project Title: Digitally supported repeated remote training for district healthcare providers to improve essential newborn care skills: A pilot cluster randomised trial in Lao PDR

Principal Researcher: Dr Sayaka Horiuchi

was considered by the Ethics Committee on 27-Jul-2023, meets the requirements of the National Statement on Ethical Conduct in Human Research (2007) and was APPROVED on 1-Aug-2023

It is the Principal Researcher's responsibility to ensure that all researchers associated with this project are aware of the conditions of approval and which documents have been approved.

The Principal Researcher is required to notify the Secretary of the Ethics Committee, via amendment or progress report, of

- Any significant change to the project and the reason for that change, including an indication of ethical implications
 (if any):
- Serious adverse effects on participants and the action taken to address those effects;
- Any other unforeseen events or unexpected developments that merit notification;
- The inability of the Principal Researcher to continue in that role, or any other change in research personnel involved in the project;
- Any expiry of the insurance coverage provided with respect to sponsored clinical trials and proof of reinsurance;
- A delay of more than 12 months in the commencement of the project; and,
- Termination or closure of the project.

Additionally, the Principal Researcher is required to submit

A Progress Report on the anniversary of approval and on completion of the project.

The Ethics Committee may conduct an audit at any time.

All research subject to the Alfred Hospital Ethics Committee review must be conducted in accordance with the National Statement on Ethical Conduct in Human Research (2007).

The Alfred Hospital Ethics Committee is a properly constituted Human Research Ethics Committee in accordance with the National Statement on Ethical Conduct in Human Research (2007).

SPECIAL CONDITIONS

1. The Alfred Hospital Ethics Committee does not have the authority to provide ethical oversight for non-Australian entities.

The project should not commence until all approvals from other ethical review bodies have been granted, and copies of those approvals and any changes requested by those review bodies have been provided to the Alfred Hospital Ethics Committee.

2. All research projects approved by the Alfred Hospital Ethics Committee are subject to, and must be carried out in compliance with, the most recent applicable COVID-19 government and relevant institution's restrictions.

APPROVED DOCUMENTS

Documents reviewed and approved at the meeting were:

Document	Version	Date
Protocol	1.1	4-Jul-2023
Appendix 1: Written test	1.0	23-Jun-2023
Appendix 2a: Skill test – management of a breathing baby	1.0	23-Jun-2023
Appendix 2b: Skill test – management of a non-breathing baby	1.0	23-Jun-2023
Appendix 3: Questionnaire on participants' characteristics	1.0	23-Jun-2023
Appendix 4a: Questionnaire on implementation status – central	1.0	4-Jul-2023
Appendix 4b: Questionnaire on implementation status – province	1.0	4-Jul-2023
Appendix 4c: Questionnaire on implementation status – district	1.0	4-Jul-2023
Appendix 5a: Focus Group Discussion guide – central facilitators	1.0	4-Jul-2023
Appendix 5b: Focus Group Discussion guide – provincial facilitators	1.0	4-Jul-2023
Appendix 5c: Focus Group Discussion guide – district healthcare providers	1.0	4-Jul-2023
Appendix 6a: Participant Informed Consent Form – facilitators	1.0	4-Jul-2023
Appendix 6b: Participant Informed Consent Form – district healthcare providers	1.0	4-Jul-2023

SIGNED:

Professor John J. McNeil Chair, Ethics Committee

Please quote project number and title in all correspondence