

# An Online, Person-Centered, Risk Factor Management Program to Prevent Cognitive Decline: Protocol for A Prospective Behavior-Modification Blinded Endpoint Randomized Controlled Trial

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## Abstract.

**Background:** Several modifiable risk factors for dementia have been identified, although the extent to which their modification leads to improved cognitive outcomes remains unclear.

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**Objective:** The primary aim is to test the hypothesis that a behavior modification intervention program targeting personalized risk factors prevents cognitive decline in community-dwelling, middle-aged adults with a family history of dementia.

**Methods:** This is a prospective, risk factor management, blinded endpoint, randomized, controlled trial, where 1510 cognitively normal, community-dwelling adults aged 40–70 years old will be recruited. Participants will be screened for risk factors related to vascular health (including physical inactivity), mental health, sleep, and cognitive/social engagement. The intervention is an online person-centered risk factor management program: BetterBrains. Participants randomized to intervention will receive telehealth-based person-centered goal setting, motivational interviewing, and follow-up support, health care provider communication and community linkage for management of known modifiable risk factors of dementia. Psychoeducational health information will be provided to both control and intervention groups.

**Results:** The primary outcome is favorable cognitive performance at 24-months post-baseline, defined as the absence of decline on one or more of the following cognitive tests: (a) Cogstate Detection, (b) Cogstate One Card Learning, (c) Cogstate One Back, and (d) Cognitive Function Instrument total score.

**Conclusion:** We will test the hypothesis that the BetterBrains intervention program can prevent cognitive decline. By leveraging existing community services and using a risk factor management pathway that tailors the intervention to each participant, we maximize likelihood for engagement, long-term adherence, and for preserving cognitive function in at-risk individuals.

**Trial Registration:** ACTRN 12621000458831. Registered on the Australian New Zealand Clinical Trials Registry (<http://www.anzctr.org.au>)

Keywords: Alzheimer's disease, clinical trial, cognitive decline, dementia, lifestyle intervention, non-pharmacological, randomized control trial

## INTRODUCTION

Dementia is the second largest cause of death in Australia, of which Alzheimer's disease (AD) is the most common form. No disease modifying therapy is currently available. However, it is estimated that approximately 35% of all dementias can be attributed to risk factors that are potentially modifiable [1, 2]. Risk factors for late-life cognitive decline are well established and include hypertension, low physical activity, poor diet quality, anxiety and depressive symptoms, low cognitive engagement, and poor sleep [2–7]. Several major challenges in reducing disease burden with behavior modification exist, including the implementation of innovative solutions that are effective in changing behaviors and changing behaviors that favorably modify disease onset in a timeframe that allows for the prevention of cognitive decline.

Clinicopathological studies of AD suggest that pathophysiological changes can begin up to 30 years before the onset of clinical symptoms [8, 9], with the accumulation of AD proteinopathies (e.g., amyloid- $\beta$  (A $\beta$ ), tau) likely beginning in midlife (e.g., 40–70 years) [10–12]. This has given rise to the prevention strategy of identifying cognitively normal at-risk individuals for early intervention to slow cognitive decline and the clinical onset of AD [13, 14]. Modifiable risk factors have been shown to have

the strongest association with dementia risk in the decades before clinical diagnosis of dementia. As such, behavioral interventions targeting personalized risk factors for cognitive decline may have maximal efficacy when implemented in midlife [4, 15–17].

While mood, vascular risk (including nutrition and physical inactivity), cognitive engagement, and sleep are modifiable risk factors for dementia, some barriers limit their successful management. Participation rates in behavior modification trials are low. Only 13–47% of trial participants seek to improve diet or increase physical activity [18, 19]. Additionally, risk factors for dementia vary between individuals and therefore effective solutions need to be specific to an individual's risk factor profile, providing a targeted, relevant, and person-centered approach. Person-centered approaches are advantageous as they may encourage individuals to adopt and enact risk mitigation strategies that will be effective in their daily lives and leverage existing community services around the individual to promote long-term adherence [20].

There are several multi-domain behavior modification trials to prevent cognitive decline (e.g., the Finnish Geriatric Intervention Study to Prevent Cognitive Impairment and Disability (FINGER) and Maintain Your Brain (MYB)) [21, 22], yet few have utilized a person-centered approach using a risk factor management pathway to prevent cognitive decline.

90 There is evidence that such programs are success-  
 91 ful in other conditions, such as falls prevention.  
 92 The RESPOND program was a telephone-based,  
 93 patient-centered falls prevention program that was  
 94 demonstrated to reduce falls, but not fall injuries, in  
 95 older people presenting to the emergency department  
 96 with a fall [20]. Program evaluation of RESPOND  
 97 showed high acceptability among participants, and  
 98 that the participant-centered approach, use of goal  
 99 setting and motivational interviewing, positive health  
 100 messaging, and leveraging technologies (e.g., tele-  
 101 health), increased participant engagement [20].

102 Based on the same guiding principles as RES-  
 103 POND, we have designed a program (BetterBrains)  
 104 to prevent cognitive decline in middle-aged adults  
 105 (40–70 years) through the delivery of a person-  
 106 centered intervention that targets individual risk  
 107 factors known to increase risk of cognitive decline  
 108 and dementia (see Box 1 for an overview of the inter-  
 109 vention). These risk factors relate to vascular health,  
 110 low mood, poor sleep, and low social and cogni-  
 111 tive engagement. BetterBrains adopts a risk factor  
 112 management strategy where each targeted risk fac-  
 113 tor is dependent on individual goal prioritization and  
 114 the presenting modifiable risk factor(s) for dementia  
 115 (Box 1). This flexibility has been shown to increase  
 116 participation, adherence and engagement [23].

117 Through these guiding principles, BetterBrains, an  
 118 online, person-centered, risk factor management pro-  
 119 gram aims to delay cognitive decline in Australian  
 120 middle-aged adults with a family history of dementia.  
 121 This program will incorporate five unique compo-  
 122 nents: 1) remote assessment of outcomes via an online  
 123 web platform (betterbrains.org.au), 2) screening to  
 124 identify personalized risk factor profiles; 3) targeted  
 125 risk factor management driven by participant pref-  
 126 erence and supported by motivational interviewing  
 127 and goal-setting, 4) telehealth support (via phone or  
 128 video call) by trained coaches to review and sup-  
 129 port behavior change, and 5) smartphone-app support  
 130 to assist participants in undertaking their recom-  
 131 mended strategies (e.g., notifications, weekly check-  
 132 ins, assessment of barriers to engagement, alerts to  
 133 coaches if participants' indicate repeated disengage-  
 134 ment).

## 135 TRIAL DESIGN

136 This is a prospective blinded endpoint 24-month  
 137 randomized controlled trial (RCT) to test the effec-  
 138 tiveness of BetterBrains, an online, person-centered,

### Box 1. Intervention (BetterBrains) Overview

- Delivered by BetterBrains Coaches trained in motivational interviewing, behavior change strategies and risk factor management via telehealth
- Active intervention will last for 12 months from randomization
- Suggested strategies for intervention are dependent on risk factor management pathway, and driven by participant preference
- Risk factor management will target one or more of the following:
  - Medical management facilitation
  - Psychology or counselling service referral (health literacy, education, and GP referral)
  - Behavioral activation
  - eTherapy (e.g., web and/or app-based programs)
  - Smoking cessation
  - Physical activity
  - Dietary modification
  - Responsible consumption of alcohol and/or caffeine
  - Social engagement
  - Continuing education/skill development
  - Participation in cognitively stimulating activities
  - Sleep psychoeducation
  - Advanced sleep phase (light) therapy
- Risk factor management strategies map onto one or more of the common categories of modifiable risk factors for dementia (i.e., vascular risk, poor mood, low social and cognitive engagement, and poor sleep).

139 risk factor management intervention to prevent cog-  
 140 nitive decline. We will compare the outcomes in the  
 141 BetterBrains intervention group with those in a con-  
 142 trol group receiving standard health education.

### 143 *Aims and hypotheses*

#### 144 *Primary aim*

145 The primary aim is to test the hypothesis that the  
 146 BetterBrains intervention program can prevent cog-  
 147 nitive decline in middle-aged adults. We hypothesize  
 148 that a higher proportion of participants randomized to  
 149 the BetterBrains program will show a favorable cog-  
 150 nitive outcome at 24-months than those randomized  
 to the control group.

### 151 *Secondary aims*

152 Secondary aims are to determine whether partic-  
 153 ipants randomized to the BetterBrains intervention  
 154 program show changes in 1) cognitive function  
 155 (complex attention, executive function, memory and  
 156 learning), 2) subjective ratings of health and quality  
 157 of life, and 3) dementia risk as measured by the Aus-  
 158 tralian National University Alzheimer's Disease Risk  
 159 Index (ANU-ADRI) and the Cardiovascular Risk  
 160 Factors, Aging and Incidence of Dementia (CAIDE)  
 161 risk scores, compared to the control group.

### 162 *Tertiary aims*

163 Tertiary aims are to determine whether participants  
 164 randomized to the BetterBrains intervention program  
 165 show changes in 1) health literacy, 2) motivation to  
 166 change health behavior, and 3) work productivity,  
 167 compared to the control group.

### 168 *Exploratory aims*

169 Exploratory analyses will aim to identify variables  
 170 that may moderate the efficacy of the intervention  
 171 to prevent cognitive decline. Variables of interest  
 172 include 1) the apolipoprotein E (*APOE*)  $\epsilon$ 4 allele  
 173 (strongest genetic risk factor for sporadic AD), 2) the  
 174 nature and number of dementia risk factors, 3) indi-  
 175 viduals' readiness to change behavior, and 4) level  
 176 of engagement with the intervention. A full program  
 177 evaluation of this RCT will also be conducted. The  
 178 program evaluation protocol will be published sepa-  
 179 rately.

### 180 *Participants and setting*

181 Community-dwelling adults aged 40–70 years old  
 182 (inclusive), living in Australia, who have a first-  
 183 or second-degree family history of AD or demen-  
 184 tia and meet the below defined 7 inclusion criteria  
 185 will be eligible for recruitment. Exclusion and inclu-  
 186 sion criteria for this study are detailed below. The  
 187 BetterBrains intervention program and correspond-  
 188 ing RCT will be conducted virtually via a website,  
 189 smartphone application, and telephone coaching  
 190 sessions.

### 191 *Inclusion criteria*

- 192 – Aged between 40–70 years;
- 193 – Plans to reside in Australia for at least 2 years  
 194 (irrespective of citizenship);
- 195 – First- or second-degree family history of demen-  
 196 tia (AD, Parkinson's disease, Lewy body demen-  
 tia, or other known diagnosis of dementia);

- Fluent in the English language; 197
- Access to a tablet, desktop, or laptop computer 198  
 with internet connectivity (to complete comput-  
 199 erized cognitive tests via our online platform and  
 200 engage in telehealth sessions with the Better-  
 201 Brains coaches);
- Willing and able to provide informed consent; 203
- Willing and able to commit to undertaking a 204  
 series of online assessments over 2 years;
- At least one modifiable dementia risk factor 205  
 identified during the online screening process;
- Willing and able to provide a saliva sample for 206  
 genotyping. 207  
 208  
 209

### *Exclusion criteria* 210

- Diagnosis of mild cognitive impairment (MCI), 211  
 AD, Parkinson's disease, Lewy body dementia,  
 212 or other known diagnosis of dementia;
- Current use of any Therapeutic Goods Admin- 213  
 istration (TGA) approved medication for the  
 214 treatment of AD (e.g., donepezil, galantamine,  
 215 rivastigmine, memantine, or other newly appro-  
 216 ved medication);
- Current use of any TGA approved medication 217  
 for the treatment of Parkinson's disease (e.g.,  
 218 Sinemet, amantadine, bromocriptine, pergolide,  
 219 selegiline, or other newly approved medication)
- History of severe traumatic brain injury or other 220  
 significant neurological disease or insult (e.g.,  
 221 multiple sclerosis, stroke, epilepsy);
- Uncontrolled major depressive disorder or 222  
 another Axis I psychiatric disorder as described  
 223 in DSM-IV-TR within the past year, psychotic  
 224 features, agitation, or behavioral problems;
- History of alcohol or substance abuse or depen- 225  
 226 dence within the past 2 years;
- Regular (daily) use of narcotics or antipsychotic 227  
 medications;
- History of myocardial infarction in the past 228  
 year or unstable severe cardiovascular disease  
 229 including angina or congestive heart failure with  
 230 symptoms at rest;
- Respond "no, and I have no intention to make 231  
 changes" in response to the question "have you  
 232 made any changes to your lifestyle during the  
 233 past year to actively reduce your risk of demen-  
 234 tia (e.g., increasing physical activity, engaging  
 235 in cognitively stimulating activities, lowering  
 236 stress)?";
- No modifiable dementia risk factors identified 237  
 during the online screening process; 238  
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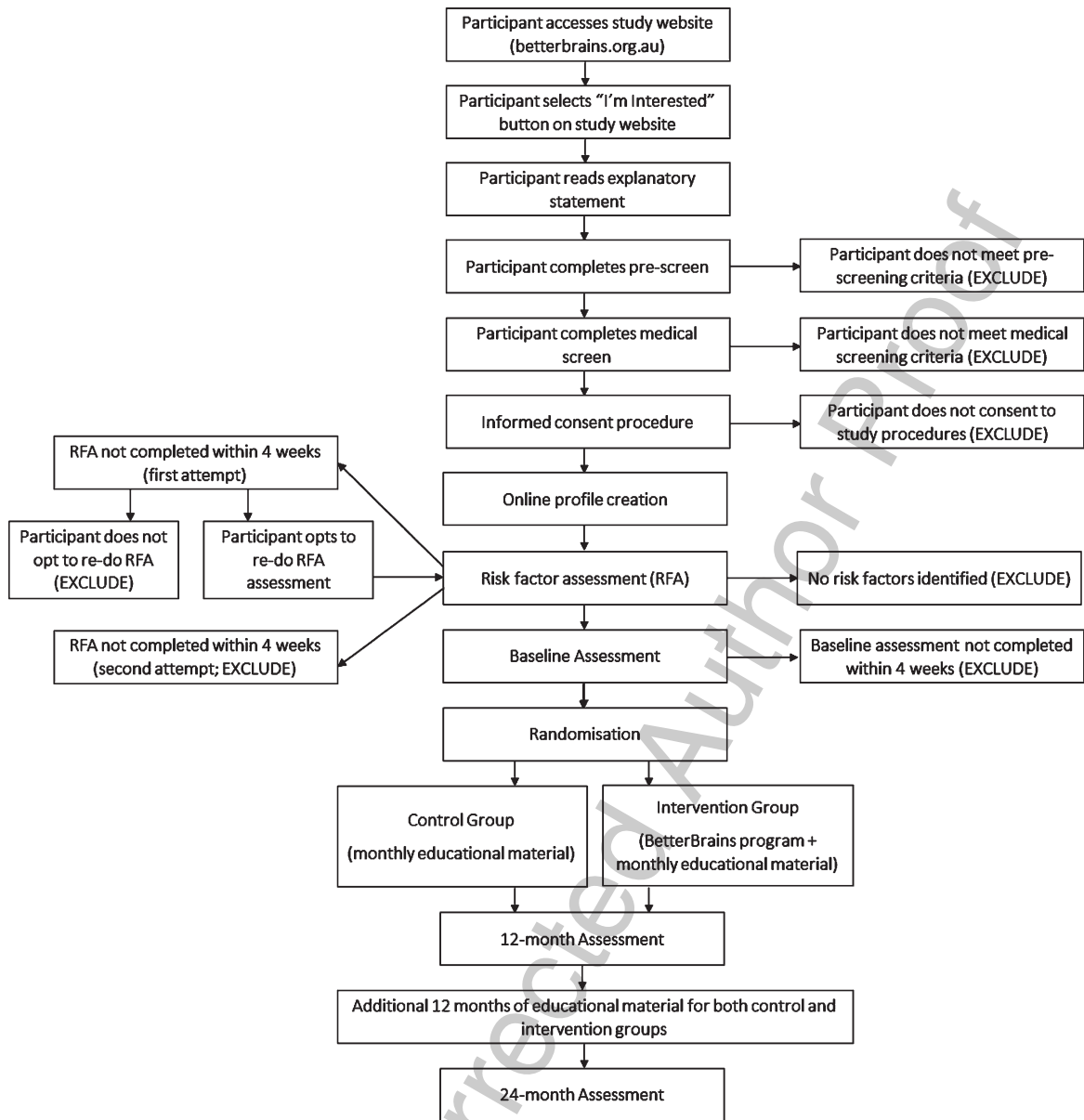


Fig. 1. Study procedure flowchart.

246 – Participant does not complete their risk factor  
 247 assessment within a 4-week period (2 attempts  
 248 will be provided, see Fig. 1).

249 **Outcomes**

250 The primary outcome is favorable cognitive per-  
 251 formance at 24-months, defined as the absence of  
 252 decline (rate of change over 24-months that is less  
 253 than 0.5SD) on one or more of the following cognitive

tests: (a) Cogstate Detection test (speed), (b) Cogstate  
 One Card Learning test (accuracy), (c) Cogstate One  
 Back test (speed), and (d) total score on the Cognitive  
 Function Instrument.

Secondary outcomes are as follows:

1. Change in cognitive function (complex atten-  
 tion, executive function, memory, and learning)  
 assessed by the Cogstate Brief Battery, Cogstate  
 IDSST-Medicines, and the Online Repeatable  
 Cognitive Assessment (ORCA) battery;

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- 263 2. Change in subjective ratings of general health  
264 and quality of life, as measured by the RAND
- 265 3. Change in health literacy, assessed by the Health  
266 Literacy Questionnaire (HLQ);
- 267 4. Change in motivation to change health behav-  
268 ior, assessed using the Motivation to Change  
269 Health Behaviour for Dementia Risk Reduction  
270 questionnaire;
- 271 5. Change in work productivity (absenteeism and  
272 presenteeism), assessed using the Valuation of  
273 Lost Productivity questionnaire.

274 All measures will be collected at baseline, 12- and  
275 24-months.

#### 276 *Primary estimand*

- 277 1. **Population:** All included participants.
- 278 2. **Individual level measure:** presence or absence  
279 of cognitive decline.
- 280 3. **Population level measure:** the proportion of  
281 participants with cognitive decline in each  
282 group (intervention and control).
- 283 4. **Intercurrent events:** An intercurrent event is  
284 one that occurs after randomization and either  
285 precludes observation of the outcome or affects  
286 its interpretation, e.g., by impacting on the  
287 primary outcome or on intervention participa-  
288 tion. We have adopted a composite strategy of  
289 handling intercurrent events. We have classi-  
290 fied potential intercurrent events into those that  
291 may potentially modify cognitive outcomes,  
292 and those that will not.

293 For intercurrent events that may potentially mod-  
294 ify cognitive outcomes (e.g., stroke, traumatic brain  
295 injury) and for events relating to the prescription  
296 of concomitant medications which are listed in the  
297 exclusion criteria and/or likely to impact on trial  
298 outcomes (e.g., donepezil, memantine), a team of  
299 medical monitors (Yassi, Brodtmann, Bush) will  
300 assess in a fully-blinded manner, the nature of the  
301 event, and determine whether the event is likely to  
302 have sufficiently modified cognitive outcomes. If the  
303 team identify an intercurrent event that is cognitively  
304 modifying, the participant in question will still be  
305 included in the primary outcome analysis, but the  
306 classification of the primary cognitive outcome for  
307 that participant will be automatically classified as  
308 negative (i.e., presence of cognitive decline), irre-  
309 spective of their actual cognitive performance. For  
310 intercurrent events that influence intervention partic-  
311 ipation (e.g., motor vehicle accident with no head

injury), intervention discontinuation, prescription of  
rescue medications/alternative therapies not listed in  
the exclusion criteria, or death, no a priori amend-  
ments will be made to any outcomes.

#### *Sample size*

Sample size calculations were based on unpub-  
lished data from 800 participants enrolled in the  
Healthy Brain Project, an observational study on  
individuals with similar characteristics as the Better-  
Brains trial (i.e., participants are aged 40–70 years,  
have a family history of dementia, have undergone  
cognitive testing using the same outcome measures  
and the same remote, unsupervised, web-based mode  
of assessment) [24]. Of this group, 680 participants  
were classified as having at least one modifiable  
risk factor for dementia (120 participants had no  
modifiable risk factors for dementia). Participants  
were classified as having an “unfavorable” cogni-  
tive outcome (slope estimate of  $>0.5$  SD decline)  
or a “favorable” cognitive outcome (slope estimate  
of  $<0.5$  SD decline). Change over time for each par-  
ticipant was estimated using linear mixed models,  
with random slopes and intercept. We found that over  
24 months,  $\sim 78\%$  of individuals with at least one  
modifiable risk factor presented with no cognitive  
decline, defined using the cognitive tests that make  
up our primary outcome. Conversely,  $\sim 88\%$  of indi-  
viduals with no modifiable risk factors presented with  
no cognitive decline.

We have conservatively estimated that the Bet-  
terBrains intervention program will result in a 7%  
absolute increase in the proportion of participants  
with a favorable cognitive outcome in the intervention  
compared to the control group. Recruiting 1,510 par-  
ticipants (755 per group) would yield 90% power to  
detect at least a 7% increase in the proportion of par-  
ticipants achieving a favorable cognitive outcome in  
the intervention group compared to the control group  
(78% in control, 85% in intervention, total  $n = 1,290$   
(645 per group), two-sided  $p = 0.05$ ), allowing for  
potential 10% loss-to-follow-up.

To allow for a trial run-in period in which opera-  
tional and procedural difficulties can be identified and  
rectified as needed, the first 10 participants enrolled  
in the trial will be excluded from the primary outcome  
analyses. The number of participants to be included in  
primary outcome analyses is therefore 1500. Sample  
size estimates were obtained using G\*Power 3.1.9.2,  
using z-tests to determine the difference between two  
independent proportions.

### Recruitment

Recruitment will consist of two approaches. First, a small number of invitations (e.g.,  $n = 15$ ) will be sent to a randomly selected group of participants from the Healthy Brain Project ([healthybrainproject.org.au](http://healthybrainproject.org.au)) via email, from which we anticipate enrolling 10 participants. The first 10 participants will complete consent, registration, risk factor assessment, baseline and randomization before a broader invitation is extended to the rest of the Healthy Brain Project cohort. This will be done via email, study newsletters and social media announcements. The Healthy Brain Project is an online observational cohort study that has enrolled 7,000 participants aged 40–70 years at study entry [24]. Participants from the Healthy Brain Project have been recruited through the community. Should existing Healthy Brain Project participants consent to be a part of this trial, their participation in the Healthy Brain Project will be suspended for the duration of their participation in the trial. They will have the opportunity to re-engage with the Healthy Brain Project at the conclusion of this trial. Second, community dwelling middle-aged adults will be invited to take part in this trial via a variety of sources, including newspaper and radio advertisements, through social media, consumer organizations and public lectures.

### Study procedure

Figure 1 provides an overview of the study procedure.

### Pre-screen

Interested individuals will be directed to access the study website at [betterbrains.org.au](http://betterbrains.org.au). Participants will be able to access an explanatory statement on this website. This statement forms part of the Participant Information and Consent form and will provide participants with a summary of the trial, its aims, the requirements of participation and a participant's rights as a volunteer in the BetterBrains trial. Participants will also be able to download and save a PDF copy of the explanatory statement for their reference. After indicating that they have read the explanatory statement, prospective participants will then be directed to complete pre-screening, by confirming that they meet the criteria outlined in Supplementary Table 1. They will do this by answering a series of questions. Prospective participants will be required to select "yes" to each of these questions in order to proceed to the medical screen.

### Medical screen & readiness for change

Participants will be required to tick a box to indicate their consent for the study team to collect information pertaining to their medical history. Once participants have indicated their consent, they will be required to respond "Yes" or "No" to a series of prompts based on the inclusion and exclusion criteria for the study (Supplementary Table 1) to determine further eligibility. Participants will also be presented with a single Likert-scale question to determine their readiness for change (Supplementary Table 1).

### Informed consent

Upon completion of the pre-screen and medical screen sections, participants will proceed to undergo the informed consent process. Given the online nature of this trial, we have presented the consent form in an interactive manner, whereby participants will be presented with selected key components of the study that they will be required to consent to by selecting "Yes" or "No". Once the participant has completed this, they will be asked to provide their full name in lieu of an electronic signature.

### Online profile creation

After providing informed consent, participants will create an online profile by entering the following information: first name, last name, email address, contact phone number, handedness, date of birth, sex, residential address, postcode, and state. Participants will also be asked to indicate whether they are enrolled in the Healthy Brain Project, whether they have a smartphone (iPhone or Android), and to provide details of their general practitioner (GP).

### Risk factor screening

Participants will then be directed to complete a risk factor screening assessment. This comprises of 10 questionnaires (~30 min) which map onto 4 risk domains: (a) Hearts (vascular risk), (b) Mood (depressive, anxiety or stress symptoms), (c) Sleep (extent of sleep disruption), and (d) Minds (level of social and cognitive engagement) (Table 1). Participants will be encouraged to complete these risk factor surveys within four weeks. If a participant does not complete the risk factor assessment within 4 weeks, they will be provided with an opportunity to re-take the assessment in its entirety. This is to ensure that the most accurate information regarding participants' lifestyle risk factors for dementia are collected. If the participant does not complete their risk factor assessment within 4 weeks on their

Table 1  
Schedule for Pre-Baseline Risk Factor Screen (RFS), and Baseline Assessment

Risk Category	Questionnaire/Assessment	RFS	Baseline Assessment							Reference
			Block 1	Block 2	Block 3	Block 4	Block 5	Block 6	Block 7	
Mood	Depression, Anxiety and Stress Scale (DASS) (21-item)	X (3 m)								[27]
	Centre for Epidemiological Studies, Depression Scale	X (2 m)								[28]
	Hospital Anxiety and Depression Scale (HADS)	X (3 m)								[29]
Mind	Relationships Questionnaire	X (1 m)								-
	Educational and Occupational History	X (1 m)								-
Sleep	Epworth Sleepiness Scale (ESS)	X (1 m)								[30]
	Insomnia Severity Index (ISI)	X (1 m)								[31]
	Berlin Sleep Apnoea Questionnaire (BQ)	X (2 m)								[32]
	Advanced Sleep Phase Questionnaire	X (3 m)								-
Heart	International Physical Activity Questionnaire (IPAQ)	X (10 m)								[33]
	Medical history and health (smoking, alcohol intake)	X (3 m)								-
<b>Outcome</b>										
Primary	Cogstate Brief Battery (CBB)	X (18 m)								[34]
Secondary	Cogstate IDSST-Medicines	X (2 m)								
Primary	Cognitive Function Instrument (CFI)	X (2 m)								[35]
Secondary	Online Repeatable Cognitive Assessment Battery		X (15 m)	X (15 m)	X (15 m)	X (15 m)	X (15 m)	X (15 m)	X (15 m)	[36]
-	Demographics	X (1 m)								-
-	Family Demographics	X (1 m)								-
-	Family Health History	X (1 m)								-
Secondary	Health Literacy Questionnaire (HLQ)		X (4 m)							[37]
-	Health and Surgical History			X (7 m)						-
-	Medications Questionnaire			X (2 m)						-
Secondary	Motivation to Change Health Behaviour					X (3 m)				[38]
Secondary	General Health (RAND)					X (3 m)				[39]
-	Greene Climacteric Scale					X (2 m)				[40]
-	Perceived Stress Scale (PSS)					X (1 m)				[41]
-	Connor-Davidson Resilience						X (1 m)			[42]
-	Pittsburgh Sleep Quality Index (PSQI)						X (4 m)			[43]
Exploratory	Valuation of Lost Productivity (VOLP)						X (4 m)			[44]
Exploratory	Cognitive beliefs and perceived risk of AD							X (2 m)		[45]
-	Cognitive Reserve Index Questionnaire (CRI-q)							X (4 m)		[46]
Exploratory	Saliva sampling								X (5 m)	-
-	COVID-19 Questionnaire								X (2 m)	-
-	Additional Information								X (1 m)	-
	<b>Total Time Per Block</b>	30 m	25 m	19 m	24 m	24 m	24 m	21 m	23 m	



second attempt, no further opportunities to re-take the assessments will be offered, and the participant will be excluded from the trial. Participants will be sent several notifications and reminders. If no risk factors across the four domains are identified during the risk assessment, the participant will be notified of their ineligibility to take part in the trial via the BetterBrains website and offered participation in the Healthy Brain Project instead. If one or more risk factors are identified, participants will be informed via the BetterBrains website that at least one lifestyle risk factor has been identified, which makes them eligible for enrolment in the trial. In participants for whom elevated depressive and/or anxiety symptoms have been identified, a letter to their nominated GP will be sent, irrespective of whether the participant is randomized to the intervention or control group. Upon completion of the risk factor screen, participants will be directed to complete the baseline assessment.

#### *Baseline assessment*

Participants have 4 weeks (28 days) from the completion of their risk factor assessment to complete their baseline assessment. As part of the baseline assessment, participants will be asked to complete approximately 110 min of cognitive testing, with the Cogstate Brief Battery, the Cogstate iDSST-Medicines test, and the ORCA battery that has recently been shown to be sensitive to subtle cognitive dysfunction in preclinical AD [25, 26]. Participants will also be required to complete several detailed questionnaires evaluating several medical, lifestyle, health, and work productivity factors (33 min total). Participants will also be asked if they are currently taking medications. Participants who respond 'yes' will be instructed to take a photo of their medication packaging and label and to upload this to their BetterBrains profile. An RA will then be responsible for data entry and coding of the medications uploaded. If the reason for the prescription is not ascertainable from the photo provided by the participant, then a study RA will contact the participant via phone call or internal message to clarify reasons for taking each medication provided. Given the comprehensive testing, we have organized the baseline assessment into seven 20 min blocks of testing (Table 1). Participants have the option of completing all 7 blocks consecutively in one day or across 4-weeks. This design is similar to what has been implemented in the Healthy Brain Project [24], and has been designed to reduce assessment fatigue and to provide maximum

flexibility for participants, while collecting a comprehensive set of key outcome variables.

A maximum of five reminder notifications will be sent to participants when each assessment is due, provided it is yet to be undertaken. If the participant fails to complete all baseline surveys and tests in the specified time interval (i.e., 4 weeks), they will not progress in the trial (i.e., no further participation).

#### *Randomization*

Upon the completion of all baseline assessments, participants will be asked to upload a photo of their ID (e.g., driver's license, Medicare card). This ID will then be verified by a research assistant. After ID verification, participants will be randomly assigned into the intervention (BetterBrains) or control groups in a 1:1 ratio based on the following stratification variables: (a) age (<55 years versus  $\geq 55$  years), and (b) rurality (i.e., urban versus rural/regional based on classifications from the Australian Bureau of Statistics). Age and rurality were selected as stratification variables as they were considered to have a substantial impact on the primary outcome and community/health resources available to participants in the intervention group. Computer-generated allocation as a part of the electronic Case Report Form (eCRF) will be conducted using permuted blocks of variable sizes (not disclosed in the protocol due to its public availability) after the baseline assessment. Participants will be notified of group allocation automatically via the BetterBrains platform. Depending on their allocation, participants will receive the relevant procedures outlined below.

#### *Blinding*

Outcome assessments will be conducted entirely online and will be assessor blinded. A research assistant will monitor completion of all primary, secondary, and exploratory outcomes, and follow-up with participants if necessary. As genetic analyses will only be conducted at the end of the trial, participants, investigators, coaches, and research assistants will remain blinded to participants' *APOE* status for the entire trial duration.

#### *Intervention (BetterBrains)*

The intervention (BetterBrains) will be delivered by trained psychologists, physiotherapists, dieticians, nurses, or occupational therapists with expertise in motivational interviewing, behavior change strategies, and risk factor management (henceforth referred to as 'BetterBrains Coaches'). The 12-month

Table 2  
Summary of BetterBrains risk factor management strategies

Risk Management Strategies	Categorization of common dementia risk factors			
	BetterHearts	BetterMood	BetterMind	BetterSleep
Medical Management Facilitation				
Attend Psychology or Counselling Service				
Behavioral Activation				
eTherapy (web and app-based programs)				
Smoking Cessation				
Physical Activity				
Dietary modification				
Responsible consumption of alcohol and/or caffeine				
Social Engagement				
Continuing education/ skill development				
Cognitively stimulating activities				
Sleep Psychoeducation				
Advanced Sleep Phase (Light) Therapy				

558 intervention will commence from the completion  
 559 of the participant's baseline assessment. Key risk  
 560 factors and associated evidence-based management  
 561 strategies will form the basis of the intervention.  
 562 Intervention group participants will nominate which  
 563 risk factor they intend to address, in consultation  
 564 with their BetterBrains coach. During the first call,  
 565 the BetterBrains coach will engage the participant  
 566 in a discussion about their risk factor(s) and explore  
 567 barriers and enablers to addressing them. Suggested  
 568 strategies are dependent on the risk factor manage-  
 569 ment pathway, which have been developed to map  
 570 onto four common risk factor categories of dementia  
 571 (Table 2):

- 572 1. BetterHearts, which targets cardiovascular risk
- 573 factors, including physical inactivity;
- 574 2. BetterMind, which targets social and cognitive
- 575 engagement;
- 576 3. BetterMood, which targets depressive, anxiety
- 577 or stress symptoms; and
- 578 4. BetterSleep, which targets symptoms of insom-
- 579 nia, advanced sleep phase disorder, sleep apnea,
- 580 and overuse of sleep medications.

581 Suggested strategies within each risk factor path-  
 582 way are dependent on participant access to healthcare  
 583 services and their financial position (e.g., Medicare  
 584 or Private Health Insurance). Coaches will encour-  
 585 age participants to address multiple risk factors across  
 586 the 12-month intervention. BetterBrains Coaches will  
 587 provide education and coaching to participants in the  
 588 intervention group via telehealth during the active  
 589 intervention phase (Table 3). Intervention group par-  
 590 ticipants will receive a minimum of 6 scheduled calls  
 591 from their coach (Fig. 2). Coaching in these telephone

592 calls will focus on person-centered care to optimize  
 593 participant engagement. Motivational interviewing  
 594 will be used to support the participant to understand  
 595 the findings of their risk assessment and to facili-  
 596 tate goal-setting based on the participant's identified  
 597 risk factors for cognitive decline. Anticipated barriers  
 598 to engagement (e.g., work and/or family commit-  
 599 ments) will also be identified, and coaches will assist  
 600 participants to find solutions to barriers identified.  
 601 Further, coaches will also assist intervention group  
 602 participants by recommending action strategies to  
 603 meet goals and assist with finding appropriate sup-  
 604 port services and resources local to the participant's  
 605 residential area (community linkages). Should inter-  
 606 vention group participants require additional support,  
 607 they will be able to schedule a phone call (as needed)  
 608 with their BetterBrains coach. Based on the evalua-  
 609 tion of the RESPOND program [23], we estimate that  
 610 intervention group participants will receive an aver-  
 611 age of 8 hours of intervention delivery (4 hours every  
 612 6 months). Participants assigned to the intervention  
 613 group will also receive monthly updates on general  
 614 news about dementia and general psychoeducational  
 615 health material about dementia risk reduction for as  
 616 long as the trial is active (i.e., at least 24 months).

617 By leveraging existing community services and tai-  
 618 loring the intervention to each participant, we ensure  
 619 that we maximize the likelihood for engagement, that  
 620 lifestyle modifications undertaken have the highest  
 621 chance of long-term adherence, and that ultimately,  
 622 cognitive function will be preserved for at-risk indi-  
 623 viduals.

#### 624 Control

625 Participants assigned to the control group will  
 626 receive monthly updates on general news about

Table 3  
Intervention description as per TIDieR [47]

TIDieR item no	Item
1. Brief name	BetterBrains: An online, person-centered, risk factor management lifestyle intervention program to delay cognitive decline
2. Why	Mood, vascular risk, cognitive engagement, and sleep are modifiable risk factors for dementia. This trial will test the effectiveness of an online, person-centered, risk factor management program to prevent cognitive decline.
3. What (materials)	The BetterBrains program targets risk factors for dementia that are broadly categorized as: (a) vascular risk (including physical inactivity), (b) low mood, (c) disrupted sleep, and (d) low cognitive engagement. A monthly blog will provide educational material about current dementia research, and risk factor reduction.
4. What (procedures)	BetterBrains incorporates: (1) online risk factor screening; (2) telehealth-based goal setting, coaching, and follow-up support, health care provider communication and community linkage for management of identified risk factors; and (3) provision of health education regarding dementia risk reduction; and (4) smartphone-app support to assist participants in undertaking their recommended intervention.
5. Who provided	BetterBrains Coaches employed by the BetterBrains team. A health professional trained in motivational interviewing and behavior change strategies.
6. How delivered	Intervention is personalized and provided on a one-to-one basis via telehealth (phone or video call). Intervention will be supplemented by weekly check-ins on the BetterBrains website or smartphone app.
7. Where delivered	One-to-one intervention delivered via telehealth.
8. When and how much	BetterBrains Coaches will provide an initial 30 min telehealth consult within 2 weeks of the baseline assessment. The second coaching phone call will be made within 2 weeks of the first, and the third within 6 weeks. BetterBrains Coaches will conduct the first booster call 6 months (24 weeks) after the baseline assessment, the second at 26 weeks, and the third at 30 weeks. We anticipate an average of 5 scheduled follow-up phone calls with each call lasting approx. 15 min. Participants will have the option of scheduling additional phone calls as needed to allow progress towards goals.
9. Tailoring	Participants may choose to address one or more risk factors throughout the active intervention period (i.e., 12 -months).
10. Modifications	Modifications made to the intervention during the study will be reported in the outcome paper.
11. Assessment of intervention fidelity	A detailed program evaluation will be conducted concurrently to the RCT to assess if the intervention was implemented as planned. This evaluation will be reported in a separate protocol paper.

dementia, and general psychoeducational health material about dementia risk reduction for as long as the trial is active. Material will simultaneously be available on the BetterBrains website and smartphone app. Control group participants will not receive personalized information about their risk profile or personalized intervention recommendations including phone calls with the BetterBrains coaches. After completing their risk assessment at baseline, they will only receive mention of the number of risk factors for dementia that apply to them, based on their responses.

#### *Follow-up assessments*

Twelve and 24 months after baseline, all participants, irrespective of group allocation, will be notified to complete follow-up assessments (surveys and cognitive testing). The assessment schedule for the follow-up visits is outlined in Table 4. At each follow up visit (i.e., 12 and 24 months), participants will repeat the risk assessment completed at screening and the baseline questionnaires and cognitive testing

undertaken at sign up (i.e., all questionnaires, apart from the inclusion/exclusion screening specific questions, presented to the participant up until the point of randomization). A total of 5 reminder notifications will be sent to participants when each assessment is due, provided it is yet to be undertaken.

#### *BetterBrains smartphone application*

All participants with a smartphone will also be asked to download the BetterBrains smartphone application. This is an optional study component that will provide a smoother experience. Participants without a smartphone will still be able to participate, and all notifications and assessments sent through the app will be made available through the website. The BetterBrains app will be used to provide psychoeducational material about dementia risk reduction, supplement intervention participants' contact with their BetterBrains Coach, and to enhance the overall trial experience. For both groups, participants will have access to psychoeducational material about

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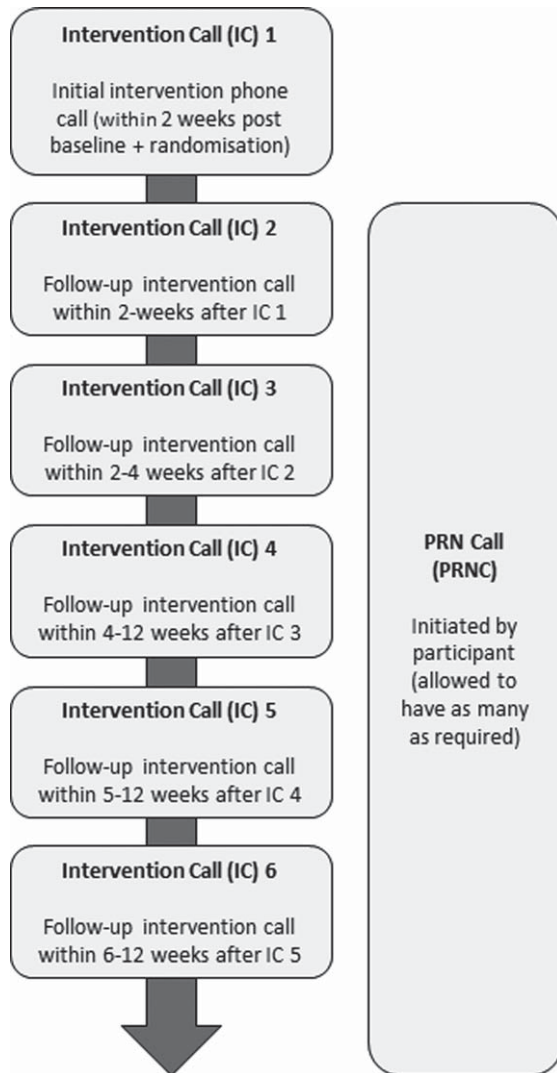


Fig. 2. Call schedule for intervention participants.

dementia risk reduction. In the intervention group, participants will also be sent regular notifications and reminders to check-in on their recommended intervention, whether they have experienced any barriers to engaging in their recommended intervention, and whether they would like to schedule a call with their BetterBrains Coach. Participants will receive these notifications weekly from their first intervention phone call up until week 52 of the intervention. From Months 12–24, these notifications will be sent monthly (option to speak with a coach will no longer be available from Month 12 onwards).

#### *Adverse and serious adverse event reporting*

Any unexpected, untoward event that occurs during the trial will be recorded in an SQL database

and reported in line with the National Health and Medical Research Council (NHMRC) guidelines on safety monitoring and reporting. An adverse event is defined as any untoward medical occurrence. A serious adverse event is defined as any untoward medical occurrence that 1) results in death, 2) is life-threatening, 3) requires inpatient hospitalization or prolongation of existing hospitalization, 4) results in persistent or significant disability/incapacity, or 5) is a congenital anomaly/birth defect.

When a participant reports an event to a BetterBrains coach or research assistant, the reporting process begins (see Fig. 3 for a flow-chart of the adverse/serious adverse event reporting process). A rostered doctor within the medical management team (Yassi, Brodtmann, or Bush) will review the event, including 1) the date of the report, 2) details of the event, 3) a description of the adverse event, and 4) relevant medical history and medications. The medical management team are blinded to participant group and will be notified of the event by a research assistant, rather than a BetterBrains coach. Finally, an emergency protocol is in place should a participant report an urgent medical or mental health crisis, advising them to attend their GP or local emergency department, or, if not possible, to ring 000 (emergency services) immediately.

#### *Data collection*

##### *Questionnaires and self-report surveys*

The assessment schedule for this study is outlined in Tables 1 and 3 and consists of a set of validated questionnaires which assess participants' motivation to change, depression, anxiety, and stress levels, subjective ratings of cognitive function, social engagement, general health, sleep quality, engagement in physical activity, work productivity, health literacy, menopausal symptoms (for women), resilience and perceived risk of dementia. The questionnaires will be presented to participants in their published and validated forms.

Self-report data will also be collected from participants in the form of demographic and health and family history surveys. The following **demographic** variables will be collected from participants at their baseline visit: sex, ethnicity, date of birth, employment status, primary occupation, marital status. At the 12- and 24-month visits, we will ask participants to indicate whether they have experienced any change in employment status and if yes, to please detail this change. **Educational history**,

Table 4  
Assessment Schedule for 12- and 24-month Follow-Up Assessments

Test/Questionnaire	12- and 24-month Assessment						
	Block 1	Block 2	Block 3	Block 4	Block 5	Block 6	Block 7
Cogstate Brief Battery	X (18 m)						
Cogstate iDSST-Medicines	X (2 m)						
Cognitive Function Index (CFI)	X (2 m)						
Demographics	X (1 m)						
Health questions (smoking, alcohol intake)	X (1 m)						
Family Demographics	X (1 m)						
Family Health History	X (1 m)						
Online Repeatable Cognitive Assessment Battery		X (15 m)	X (15 m)	X (15 m)	X (15 m)	X (15 m)	X (15 m)
Health History		X (5 m)					
Surgical History		X (2 m)					
Relationships Questionnaire		X (1 m)					
Medications Questionnaire		X (2 m)					
Valuation of Lost Productivity (VOLP) + Educational History			X (5 m)				
Depression, Anxiety and Stress Scale (DASS) (21-item)			X (3 m)				
Centre for Epidemiological Studies, Depression Scale (CES-D)			X (2 m)				
Hospital Anxiety and Depression Scale (HADS)				X (3 m)			
Health Literacy Questionnaire (HLQ)				X (4 m)			
Motivation to Change Health Behaviour				X (3 m)			
General Health (RAND)					X (3 m)		
Perceived Stress Scale (PSS)					X (1 m)		
Connor-Davidson Resilience					X (1 m)		
Epworth Sleepiness Scale (ESS)					X (1 m)		
Berlin Sleep Apnoea Questionnaire					X (3 m)		
Cognitive Reserve Index Questionnaire (CRI-q)					X (4 m)		
Insomnia Severity Index (ISI)						X (1 m)	
Pittsburgh Sleep Quality Index (PSQI)						X (4 m)	
Advanced Sleep Phase Questionnaire						X (3 m)	
Greene Climacteric Scale for Menopausal Symptoms						X (2 m)	
Cognitive beliefs and perceived risk of AD						X (2 m)	
International Physical Activity Questionnaire (IPAQ)							X (10 m)
Covid-19 Questionnaire							X (2 m)
Additional Information							X (2 m)
<b>Total Time Per Block</b>	26 m	25 m	25 m	25 m	28 m	28 m	29 m

732 **family demographics, and family health history**  
 733 will also be self-reported and collected at baseline,  
 734 12- and 24-months. Participant’s own **surgical and**  
 735 **health history** including medical or psychiatric diag-  
 736 noses, current medications, drug and/or alcohol use,  
 737 first- and second-degree family history of dementia,  
 738 cardiovascular disease and/or psychiatric illness will  
 739 also be self-reported and collected at baseline, 12-  
 740 and 24-months. Data obtained from these surveys  
 741 will be used to compute the ANU-ADRI and CAIDE  
 742 dementia risk scores [48, 49].

743 *Cognitive testing*

744 Unsupervised cognitive testing will be carried out  
 745 using the Cogstate Brief Battery (CBB), the Cogstate  
 746 iDSST Medicines, and the Online Repeatable Cog-  
 747 nitive Assessment (ORCA) battery. Instructions and  
 748 delivery of these tests have been designed and opti-  
 749 mized for unsupervised, online assessment [36, 50,

51], and have demonstrated sensitivity to AD-related  
 cognitive change [25, 52].

The CBB has a game-like interface which uses  
 playing card stimuli and requires participants to pro-  
 vide “Yes” or “No” responses. The CBB consists  
 of four tests: Detection (DET), Identification (IDN),  
 One Card Learning (OCL), and One-Back (OBK).  
 These tests have been described in detail previously  
 [34, 52]. Briefly, DET assesses psychomotor func-  
 tion, and IDN assesses visual attention. The primary  
 outcome for both DET and IDN was reaction time in  
 milliseconds (speed). OCL assesses visual learning,  
 and OBK assesses working memory and attention.  
 The primary outcome measures for OCL and OBK  
 was proportion of correct answers (accuracy).

The Cogstate International Daily Symbol Substitu-  
 tion Test (IDSST) Medicines is a measure of complex  
 attention (processing speed) and simple executive  
 function. In this test, a key is provided at the top of  
 the screen. This key shows nine pairs, each consisting of

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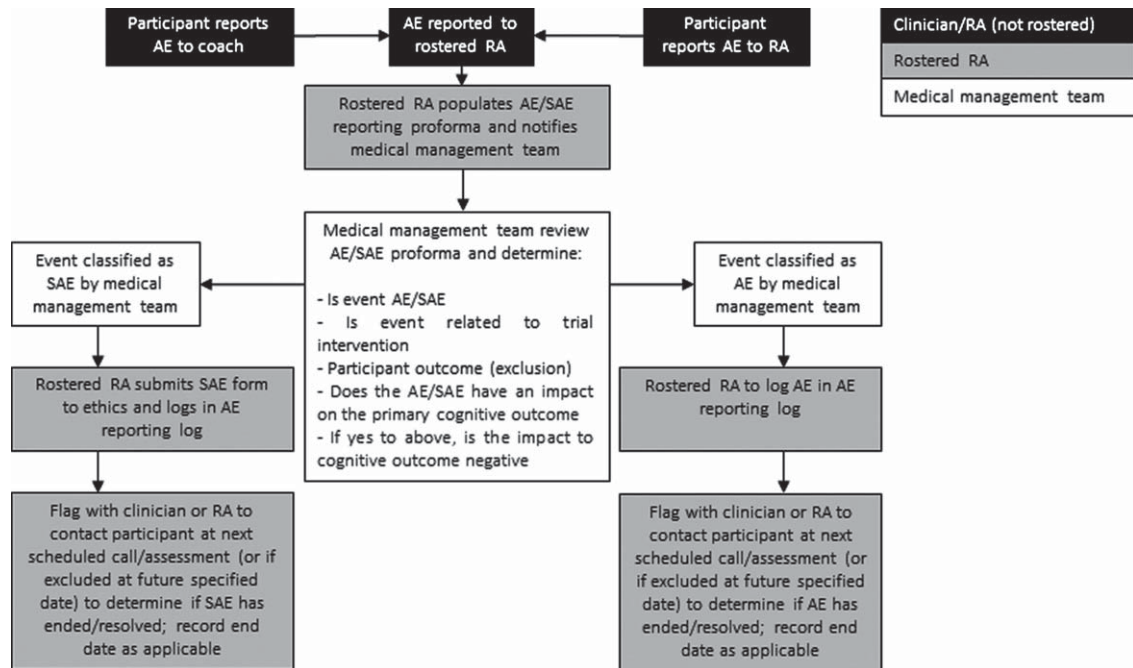


Fig. 3. Adverse and Serious Adverse Event Reporting Process.

770 a single medicine (capsule, tablet, pill) and calendar  
 771 date and month (e.g., FEB 1, FEB 2, FEB 3, FEB  
 772 4, FEB 5, FEB 6, FEB 7, FEB 8, and FEB 9). Each  
 773 medicine has a unique shape and color, and each cor-  
 774 responds to a different date (e.g., a round red tablet  
 775 may be allocated to FEB 3). In the middle of the  
 776 screen, an empty pill box is presented, and a date is  
 777 shown at the top of the pill box. At the bottom of  
 778 the screen, the same medicines as those shown in the  
 779 key are presented. The participant/subject is asked to  
 780 select the medicine form the set at the bottom of the  
 781 screen that corresponds to the date highlighted on the  
 782 pill box in the center of the screen based on the cor-  
 783 rect pairing between medicine and date shown in the  
 784 key (e.g., if FEB 3 is the label on the empty box in  
 785 the middle of the screen, the subject should select the red  
 786 tablet). At any decision, the four previous and four  
 787 upcoming trials are also displayed, on either side of  
 788 the current date. The software records each selection  
 789 as correct or incorrect, and once a response is made  
 790 it cannot be changed. The medicines are selected  
 791 randomly from a repository of 100 stimuli. Their  
 792 position in the key showing pairings of medicine  
 793 and date is also randomized. After the practice, the  
 794 subject is allowed 120s to make as many correct  
 795 responses as possible. The primary outcome for the  
 796 IDSST-medicines is the total number of correct  
 797 responses made in 120 s.

798 ORCA is a paired associate learning task that  
 799 involves learning the correct pairing of a visually  
 800 presented Chinese character (e.g., 莓) and the audio  
 801 English translation of the word (i.e., berry) [25, 36].  
 802 The presentation of “correct” pairings will occur over  
 803 the course of 6 training blocks (one per day) ten times  
 804 more often than “incorrect” pairings (e.g., chair and  
 805 莓). Each trial will consist of a visually presented Chi-  
 806 nese character, presented for 1000 ms after the onset  
 807 of the auditory presentation of the English word. After  
 808 the characters are presented, participants will have to  
 809 press one of two keys on a laptop to indicate whether  
 810 the pairing was correct or not. The instruction will be  
 811 to “decide if the English word and Chinese charac-  
 812 ters match or not”. Through this process, participants  
 813 will have the opportunity to learn a range of com-  
 814 monly used Chinese characters. This task will take  
 815 a maximum of 15 min to complete. Participants will  
 816 be required to complete 6 blocks of testing (90 min  
 817 in total). In order to do this test, participants should  
 818 not be proficient in Chinese (i.e., intermediate level  
 819 onwards). In order to account for this, we will ask  
 820 “How proficient are you with Chinese characters?”  
 821 The responses will be multiple choice: “Not at all”,  
 822 “Beginner level”, “Intermediate level”, “Advanced  
 823 level”, or “I am fluent/It is my first language”. If  
 824 the participant selects “Intermediate level”, “Advanced  
 825 level”, or “I am fluent/It is my first language”, the

826 participant will not complete the ORCA task. Based  
827 on HBP estimations [24], we anticipate that ~90% of  
828 participants will be eligible to undertake this task. In  
829 addition to the five reminder emails sent as part of the  
830 baseline, 12- and 24-month assessment protocol, partici-  
831 pants with low adherence rates on the ORCA task  
832 (e.g., < 80%) will also be sent an additional reminder  
833 email and SMS at 21 days. This will allow partici-  
834 pants an additional 7 days to complete the task prior  
835 to the 28-day cut-off.

### 836 *Saliva sampling*

837 All participants that proceed to randomization will  
838 also be asked to provide a sample of saliva for genetic  
839 testing. After randomization, participants will receive  
840 via post at their residential address a Genotek Ora-  
841 gene (OG-500) 2 mL saliva kit in a pre-paid envelope.  
842 The DNA tubes will be coded (deidentified) at the  
843 Turner Institute before being sent to the participant  
844 in the post. Participants will be instructed to return  
845 the saliva sample via pre-paid Registered Post to our  
846 research team at the Turner Institute for Brain and  
847 Mental Health. Samples will be temporarily stored at  
848 the Turner Institute. At the end of the trial, a commer-  
849 cial vendor will be identified to conduct genotyping.  
850 All samples will be deidentified before being sent  
851 for analysis. SNPs for *APOE* (rs429358, rs7412) and  
852 those identified to be associated with risk of AD or  
853 dementia will be analyzed [53, 54].

### 854 *Clinical information*

855 Information about intervention group participants’  
856 engagement in behavior change strategies related to  
857 their goals will be collected from two sources: 1)  
858 coach phone calls and 2) the BetterBrains smartphone  
859 app. Coaches will complete an eCRF during their  
860 scheduled phone calls with intervention participants  
861 which will capture relevant behavior change infor-  
862 mation including: whether the participant has made  
863 progress in meeting their goal, barriers and facili-  
864 tators related to goal progress, and strategies used  
865 to affect behavior change. The BetterBrains smart-  
866 phone app will send participants ‘prompts’ in the  
867 form of notifications weekly (first 12-months of the  
868 trial) and monthly (second 12-months of the trial)  
869 with questions asking about goal progress, barriers  
870 and facilitators.

871 Participant’s engagement in behavior change will  
872 also be captured through their responses to ques-  
873 tionnaires and surveys administered at the outcome  
assessments.

### 874 *Statistical analysis*

875 Outcome analyses will be conducted follow-  
876 ing intention-to-treat principles. All outcomes and  
877 analyses are prospectively categorized as primary,  
878 secondary, or exploratory. Differences in all end-  
879 points between the two study groups will be tested  
880 independently at the two-tailed 0.05 level of sig-  
881 nificance. All estimates of treatment effects will be  
882 presented with 95% confidence intervals (CIs). No  
883 formal adjustments will be undertaken to constrain  
884 the overall Type I error associated with the secondary,  
885 tertiary, and exploratory analyses. Their purpose is to  
886 supplement evidence from the primary analysis to  
887 more fully characterize the treatment effect. Results  
888 from the secondary, tertiary, and exploratory analyses  
889 will be interpreted in this context. Descriptive statis-  
890 tics will be generated for each of the measures used  
891 in the study.

892 The primary outcome will be analyzed using an  
893 adjusted logistic regression model with the achieve-  
894 ment of a favorable cognitive outcome at 24 months  
895 (yes/no) as the dependent variable and the treatment  
896 group as the independent variable.

897 Secondary, tertiary, and exploratory endpoints will  
898 be analyzed using appropriate regression models.  
899 Exploratory longitudinal analyses will be conducted  
900 using linear mixed models (LMM) with random  
901 slopes and random intercepts to determine any  
902 between-group differences in rates of change in  
903 objective and subjective cognitive function, subjec-  
904 tive ratings of general health and quality of life, health  
905 literacy, motivation to change behavior for dementia  
906 risk reduction, and perceived risk of dementia. We  
907 will also explore the moderating effects of *APOE*  $\epsilon 4$ ,  
908 the nature and number of risk factors, and partici-  
909 pants’ readiness to change on cognitive outcomes.  
910 The details of the statistical analysis will be summa-  
911 rized in a separate Statistical Analysis Plan prior to  
912 the lock of the trial data.

### 913 *COVID-19 related considerations*

914 To ensure the safety and wellbeing of our research  
915 participants and to preserve trial integrity in the  
916 context of the COVID-19 pandemic, consideration  
917 has been given to intercurrent events related to  
918 COVID-19 as well as any adequate provisions that  
919 may be required to mitigate the potential impact of  
920 COVID-19 on trial outcomes (Table 5 details these  
considerations).

Table 5  
COVID-19 Impact

Factor	BetterBrains Trial Impact
COVID-19 Testing	Due to the nature of the intervention (remotely administered via telephone calls and self-directed by the participant in their own community), and the nature and timing of the outcome assessments (remotely administered via a web-based platform at baseline, 12- and 24-months), we do not anticipate a significant impact of participant COVID-19 testing on our trial. Regarding outcome assessments, participants who are tested for COVID-19 and are required to self-isolate will still be able to complete their required outcome assessments. Participants also have 4 weeks within which they can complete outcome assessments, so may choose to complete their assessment after completion of the self-isolation period if it coincides with the start of their study visit.
COVID-19 Infection	A series of questions which collects information regarding COVID-19 testing, treatment, and hospitalization have been included in the outcome assessments and monthly participant check-ins. Participants will have the opportunity to advise the study team if they have tested positive for COVID-19 infection during their outcome assessments and/or monthly check-ins (delivered remotely via the web and smartphone app platforms). For those randomized to the intervention groups, participants may also communicate this information to their coach. Should a participant test positive for COVID-19, they may require treatment, and this may result in potentially relevant complications or medication needs. If the participant is unable to proceed with their chosen intervention plan as a result of this (e.g., the participant exhibits respiratory symptoms and is engaged in a vigorous physical activity program), then they may consult with a member of the study team to revise their intervention strategy or plan. Further, if the participant becomes too unwell to continue in the trial in its entirety, they can withdraw from the study, and this withdrawal will be treated in the same way as any other departure due to medical illness. However, we expect that risk of discontinuation of the intervention due to COVID-19 infection overall will be low.
Quarantine and Travel Limitations	Given that BetterBrains is a remote trial, requirements to quarantine and travel limitations will not impact the participant's assessments and interactions with their coach (if applicable). However, we anticipate some impacts on the intervention aspect of the trial, particularly the participant's ability to access services (e.g., GP, psychologist) that may be required as part of their intervention plan. We have made provisions for this by outlining comparable, but alternative, strategies for intervention (e.g., utilizing telehealth consultations to access appointments with GP or allied health services). Please see Supplementary Table 2 for details.
Site Closures	Given that BetterBrains is a remote trial, there will be no impact of research site closures on participants' assessments and interactions with their coach. Loss to follow-up due to site closure is therefore expected to be minimal. However, we anticipate some impacts to intervention engagement due to contact point closures (e.g., GP, psychologist). As above (see: 'quarantine and travel limitations'), we have made provisions for this by outlining comparable, but alternative, strategies for intervention (e.g., utilizing telehealth consultations to access appointments with GP or allied health services). Please see Supplementary Table 2 for details.
Interruption to supply chain of participant's medications	In the unlikely event that supply chain of medications is interrupted as a result of the COVID-19 outbreak, and the participant has no access to the medication, the participant will be classified as not taking the relevant medication. Coaches will enquire as to whether any changes in medications have occurred.
Stopped enrolment	COVID-19 infection surges and restrictions may lead to geographic region-specific recruitment reductions, delays, and suspension of recruitment. Due to the distributed nature of this study, where individual participants are enrolled centrally online, and is not related to specific enrolling centers, there are no relevant restrictions identified other than those based on geographic region. The need for unplanned interim analysis for futility due to COVID-19 is expected to be unlikely.
Delayed assessment	Outcome assessments in this trial are administered remotely via the internet on three occasions: baseline, 12- and 24-months. Further, assessments are participant-driven, which means that participants can complete their assessments over multiple sittings and at a time that is convenient for them. Participants also have a window of 4 weeks within which they can complete each outcome assessment. Thus, we do not anticipate a delay in assessment completion beyond what would be normally expected in a clinical trial.
Missed visit assessment	As above (see 'delayed assessment').
Stopped intervention due to COVID-related safety concerns	If the participant is unable to proceed with their chosen intervention plan as a result of COVID-related safety concerns, then they may consult with a member of the study team to revise their intervention strategy or plan. However, we expect that risk of stopped intervention due to COVID-19-related safety concerns overall will be low.
Discontinuing participants due to infection	We expect that risk of discontinuation of the intervention due to COVID-19 overall will be low. However, if a participant becomes too unwell to continue in the trial in its entirety, they can withdraw from the study, and this withdrawal will be treated in the same way as any other departure due to medical illness.

(Continued)



Table 5  
(Continued)

Factor	BetterBrains Trial Impact
Alternative administration of intervention	See Supplementary Table 2 for details.
Alternative collection of specimens	As part of the trial, we will be collecting saliva samples for genotyping. We will defer collection of saliva samples until indicated that it is safe to proceed with this as advised by government restrictions and the analyzing laboratory. All saliva samples will be securely stored in a locked cabinet at the Turner Institute, Monash University, until they are able to be shipped to the analyzing laboratory. We have planned for samples to be analyzed only at the end of the trial. At this stage, we will communicate with the analyzing laboratory to determine whether they are still operational and accepting biosamples.
Alternative data collection	This study has approval to collect participant Medicare Benefits Schedule (MBS) and Pharmaceutical Benefits Scheme (PBS) data. This is an optional component of the study, and for those participants who opt-in for collection of this information, this data will be used to identify whether participants have attended their GP or other health professionals as a result of COVID-19.
Concomitant Medications due to COVID-19	Participants will advise the study team of their current medications via outcome assessments and monthly check-ins. If a participant starts a new medication or treatment as a result of COVID-19 that is known to impact physical or mental health or cognitive function in a way that may influence the results of outcome assessments, this will be reviewed by the team of medical monitors (Yassi, Brodtmann, Bush) who will determine whether the event is likely to have sufficiently modified cognitive outcomes.

## DISCUSSION

This RCT is, in its entirety, a remote clinical trial through its use of web-, smartphone-, and telephone-based platforms to assess, monitor, and deliver the intervention to participants. The aim is to test the hypothesis that the BetterBrains intervention program will prevent cognitive decline in community-dwelling, middle-aged adults with a family history of dementia. The BetterBrains program targets known modifiable risk factors for cognitive decline and dementia through a person-centered, risk factor management online intervention. As modifiable risk factors rarely present in isolation, our multi-risk factor approach has the potential to maximize the anticipated benefits of modifying lifestyle variables on reducing risk of cognitive decline.

The online nature of the trial reduces the burden on participants as attendance at a clinical research facility is not required and completion of cognitive tests and surveys can be completed at a time of convenience over several days. It also facilitates the recruitment and participation of regional and rural participants who are often underrepresented in clinical research due to geographic barriers. As a substantial proportion of Australians aged 40–70 years have access to the Internet via a computer, tablet or phone, this mode of assessment will allow us to reach a wide demographic of individuals. We have successfully utilized this method of recruitment and assessment in the Healthy Brain Project [24]. If successful, there will be an opportunity to apply the

testing and intervention methods more broadly as part of clinical care for other patient groups such as chronic disease.

## TRIAL STATUS

The trial plans to recruit from June 2021 to June 2022.

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## SUPPLEMENTARY MATERIAL

The supplementary material is available in the electronic version of this article: <https://dx.doi.org/10.3233/JAD-210589>.

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