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APPROVAL OF AUDIT ACTIVITY

A/Prof Laurence Weinberg,
Department of Anaesthesia,
Austin Health

23 September 2019

Project Number: Audit/19/Austin/103

Austin Health Reference Number: Audit 19/103

Project Title: A retrospective study evaluating the health economic and patient outcome impact of complications following oesophageal resection at Austin Health.

Dear A/Prof Laurence Weinberg,

Thank you for your application. The project has been reviewed by Austin Health Office for Research against the principles of the National Statement on Ethical Conduct in Research (2007, updated 2018) and **we believe that this project does not require submission to the Austin Health Human Research Ethics Committee.** It is our understanding that this application is a clinical audit project involving the collection, use and disclosure of the data in a de-identified format to be conducted at Austin Health. Such data is to be accessed by an Austin Health employee only.

Approval for the study to be conducted as an audit is now granted.

Please note this letter only pertains to the project being conducted at Austin Health. If it is intended for the project to be conducted at another site/institution, the appropriate review and authorisation will be required prior to the commencement at respective site/institution.

Conditions of Audit Approval:

You are required to submit to the Office for Research:

- An Annual Progress Report (that covers all sites listed on approval) for the duration of the project. This report is due on the anniversary of Audit approval. Continuation of Audit approval is contingent on submission of an annual report, due within one month of the approval anniversary. Failure to comply with this requirement may result in suspension of the project by the Office for Research.
- A comprehensive Final Report upon completion of the project.

Audit Approval V6 dated 17 April 2019

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Audit approval for this project applies at the following sites:

Site
Austin Health

Document/s Approved:

Document	Version	Date
Austin Health Audit Activity Application Form	1	23 September 2019
Data Collection Form	1	23 September 2019

Yours sincerely,

Priyanka Sathe

HREC Manager – Drug Trials

Office for Research, Austin Health

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