

27 March 2017

Dr Sally Wootton  
Northern Sydney Chronic Disease Community Rehabilitation Service  
Macquarie Hospital  
51 Wicks Rd  
North Ryde, NSW 2113

Dear Sally

**NSLHD reference: RESP/16/290**

**Study Title: Integrated Care for Chronic Obstructive Pulmonary Disease. GP and Chronic Disease Community Rehabilitation Service (CDCRS) Partnership Model of Care**

**HREC reference: HREC/15/HAWKE/434**

Thank you for your letter, dated **24 February 2017**, responding to the Northern Sydney Local Health District HREC's request for additional information/modification for the above project, which was first considered by the HREC at its meeting held on **14 November 2016**. This HREC has been accredited by NSW Ministry of Health as a Lead HREC under the model for single ethical and scientific review and Certified by the NHMRC under the National model for Harmonisation of Multicentre Ethical Review (HoMER). This lead HREC is constituted and operates in accordance with the National Health and Medical Research Council's *National Statement on Ethical Conduct in Human Research* and the *CPMP/ICH Note for Guidance on Good Clinical Practice*. No HREC members with a conflict of interest were present for review of this project.

I am pleased to advise that the Committee at an HREC meeting on **13 March 2017** has granted ethical and scientific approval of the above **multi centre** project.

The project is approved to be conducted at:

- **Macquarie Hospital, Northern Sydney Local Health District.**

**The Committee noted that the General Practices involved in the study will be added as sites and approved by the HREC once identified by the investigators.**

**You are reminded that this letter constitutes *ETHICAL* and *SCIENTIFIC* approval only. You must not commence this research project at a site until a completed Site Specific Assessment Form/Access Request and associated documentation have been submitted to the site Research Governance Officer and Authorised. A copy of this letter must be forwarded to all site investigators for submission to the relevant Research Governance Officer.**

If a new site(s) is to be added please inform the HREC in writing and submit a Site Specific Assessment Form (SSA) to the Research Governance Officer at the new site.

The following documentation has been reviewed and approved by the HREC:

Document	Version	Date
Study Protocol	3	23 February 2017
Participant Information Sheet and Consent Form – GP and Physiotherapist	3	23 February 2017
Participant Information Sheet and Consent Form – Existing COPD	3	23 February 2017
Participant Information Sheet and Consent Form – Case finding	3	23 February 2017
GP and Physiotherapist Invitation Letter	1	11 January 2017
GP and Physiotherapist Semi-structured Interview Guide	1	6 December 2016
Participant Semi-structured Interview Guide	1	6 December 2016
Baseline Assessment, case finding	1	20 October 2016

Baseline Assessment, existing COPD	1	20 October 2016
Three-month Follow up Assessment	1	20 October 2016
COPD Diagnostic Questionnaire (CDQ)	1	20 October 2016
Spirometry data collection sheet	1	20 October 2016
COPD Assessment Test (CAT)	1	20 October 2016
Active Australia Questionnaire	1	20 October 2016
The Physical Activity Stages of Change Questionnaire (PASOCQ)	1	20 October 2016
Patient Activation Measure (PAM)	1	20 October 2016
Incremental step test data collection sheet	1	20 October 2016
COPD Action plan	1	20 October 2016
GP Management plan template	1	21 October 2016

The National Ethics Application Form reviewed by the HREC was **NEAF AU/1/E1C926**.

Please note the following conditions of approval:

- HREC approval is valid for **5 years** from the date of approval and expires on **13 March 2022**. The Co-ordinating Investigator is required to notify the HREC 6 months prior to this date if the project is expected to extend beyond the original approval date at which time the HREC will advise of the requirements for ongoing approval of the study.
- The Co-ordinating Investigator will provide an annual progress report to the Institution beginning in **August 2017** as well as a final study report at the completion of the project using the template available on the Research Office website. An annual report is due **every year on 30 August**.
- The Co-ordinating Investigator will immediately report anything which might warrant review of ethical approval of the project in the specified format, including unforeseen events that might affect continued ethical acceptability of the project and any complaints made by study participants regarding the conduct of the study.
- Proposed changes to the research protocol, conduct of the research, or length of HREC approval will be provided to the HREC for review, in the specified format.
- The HREC will be notified, giving reasons, if the project is discontinued before the expected date of completion.
- Investigators holding an academic appointment (including conjoint appointments) and students undertaking a project as part of a university course are advised to contact the relevant university HREC regarding any additional requirements for the project.

Please note it is the responsibility of the sponsor or the co-ordinating investigator of the project to register this study on a publicly available online registry (eg Australian New Zealand Clinical Trial Registry [www.anzctr.org.au](http://www.anzctr.org.au)) if applicable.

Should you have any queries about your project please contact the Research Office, Tel: 9926 4590, email [NSLHD-Research@health.nsw.gov.au](mailto:NSLHD-Research@health.nsw.gov.au).

Please quote **NSLHD reference RESP/16/290** in all correspondence.

The HREC wishes you every success in your research.

Yours sincerely



**Monique Macara**  
*Research Ethics Manager*  
 NORTHERN SYDNEY LOCAL HEALTH DISTRICT

TRIM RESD/17/1982